

Quality by Design (QbD) in Herbal Drug Formulation: Current Strategies and Regulatory Aspects

**Dr. Ashish B Navghare, Dr. Ravindra Ashokrao Sarkate,
Dr. Nandu Kayande, Miss. Gokula P Adhao, Mr. Vitthal R Nalegaonkar**
Dr. R. N. Lahoti Institute of Pharmaceutical Education & Research Center, Sultanpur

Abstract: *The implementation of Quality by Design (QbD) in herbal drug formulation represents a paradigm shift from conventional quality control to a proactive, risk-based, and science-driven framework. This review emphasizes the significance of QbD in addressing the challenges associated with herbal medicines, particularly their inherent variability, multi-component composition, and lack of standardized evaluation. Special focus is given to anti-aging formulations, where consistent quality, safety, and efficacy are crucial for market acceptance. Advances in analytical techniques, computational biology, chemometrics, and novel drug delivery systems have enhanced the ability to identify critical quality attributes (CQAs), critical process parameters (CPPs), and establish robust design spaces. Regulatory perspectives from India, the United States, and the European Union are compared, highlighting the role of QbD in harmonizing global standards and ensuring compliance. Furthermore, the integration of emerging technologies such as nanotechnology, artificial intelligence (AI), and personalized medicine approaches is discussed as a means of optimizing formulation design and enhancing therapeutic performance. Overall, QbD emerges not only as a tool for regulatory adherence but also as a strategic enabler of innovation, quality assurance, and consumer confidence in the growing herbal drug industry*

Keywords: Quality by Design (QbD), Herbal drug formulation, Anti-aging formulations, Critical Quality Attributes (CQAs), Regulatory aspects, Standardization of herbal medicines, Novel drug delivery systems, Artificial intelligence in drug development

I. INTRODUCTION

1: The Paradigm Shift in Quality Control

1.1. From Quality-by-Testing to Quality by Design

The pharmaceutical and cosmeceutical industries are undergoing a fundamental transformation in their approach to quality control, moving away from a traditional, reactive model toward a proactive, science-based framework. The conventional approach, often referred to as Quality-by-Testing (QbT), relies heavily on end-product testing to ensure quality. In this model, quality is not inherently built into the product but is, in essence, "tested into" it through rigorous inspection of the final batch. This reactive method has significant limitations, including a restricted understanding of the manufacturing process, a disincentive for continuous improvement, and a susceptibility to manufacturing failures and product recalls. The specifications under QbT are often based on historical batch data rather than on a deep, scientific understanding of the product's performance requirements.[1,2]

In contrast, Quality by Design (QbD) is a systematic and holistic approach where quality is "built into" the product from its inception. As defined by the ICH Q8 guideline, QbD is a "systematic approach to development that begins with predefined objectives and emphasizes product and process understanding and process control, based on sound science and quality risk management". This framework enables manufacturers to optimize development, reduce variability, and proactively mitigate risks by understanding the relationships between manufacturing variables and final product quality.



This enhanced understanding provides a basis for continuous improvement and innovation throughout the product lifecycle, which is a key advantage over the static nature of the traditional approach. [3,4]

1.2. The Foundational Pillars of QbD

The QbD framework is constructed upon several foundational pillars that guide the development process. The cornerstone is the Quality Target Product Profile (QTPP), which defines the intended use, dosage form, strength, and other critical characteristics of the final product from a patient-centric perspective. The QTPP serves as a blueprint, guiding all subsequent formulation and process development efforts to ensure that the final product consistently meets its predefined objectives for safety and efficacy.[5,6]

From the QTPP, the Critical Quality Attributes (CQAs) are identified. CQAs are the physical, chemical, biological, or microbiological properties that must be maintained within an appropriate limit or range to ensure the desired product quality. For a polyherbal formulation, an example of a CQA could be the disintegration time of a tablet or the pH and viscosity of a topical gel. [7,8]

To achieve the desired CQAs, the QbD process requires an understanding of the factors that can influence them. These factors are categorized as Critical Material Attributes (CMAs) and Critical Process Parameters (CPPs). CMAs are the properties of raw materials, such as the purity or moisture content of an herbal extract, while CPPs are the variables of the manufacturing process, such as mixing speed, temperature, or compression force. The core principle of QbD is to scientifically establish the relationships between these CMAs, CPPs, and the final CQAs to ensure consistent product quality.[9,10]

1.3. Quality Risk Management (QRM): A Guiding Principle

Quality Risk Management (QRM), as detailed in the ICH Q9 guideline, is a central and continuous process within the QbD framework. QRM involves a systematic, science-based approach to identifying, analyzing, and evaluating risks to a product's quality throughout its lifecycle. This proactive risk assessment is designed to protect patient safety by addressing potential sources of harm before they can occur. [11]

A key tool for QRM is Failure Mode and Effects Analysis (FMEA), which provides a structured method for pinpointing vulnerabilities in a process or product. FMEA systematically identifies potential failure points (failure modes), determines their effects, and assigns a

Risk Priority Number (RPN) based on three critical factors: the severity of the potential harm, the occurrence or likelihood of the failure, and the detectability of the problem before it affects the final product. By ranking risks using the RPN, manufacturers can prioritize which issues to address, ensuring that limited resources are focused on the areas with the highest potential for impact on product quality and patient safety.

1.4. Establishing the Design Space

The culmination of the QbD process is the establishment of the Design Space. This is defined as the "multidimensional combination and interaction of input variables (e.g., material attributes) and process parameters that have been demonstrated to provide assurance of quality". The design space represents the safe operating region for a manufacturing process, where changes can be made without compromising the product's quality.

A major advantage of an approved design space is the regulatory flexibility it provides. Working within this predefined space is not considered a regulatory change, which means manufacturers can make adjustments to their process to improve efficiency or accommodate material variability without requiring a post-approval regulatory submission. This fosters continuous improvement and innovation.

Design of Experiments (DoE) is a common statistical method used to establish the design space by systematically investigating the relationships between input variables and product attributes. By defining the "edge of failure"—the point at which a process parameter or material attribute falls out of specification—the design space ensures that production remains within a robust and reliable operating region. [12,13]



II. THE UNIQUE CHALLENGES OF HERBAL FORMULATIONS

2.1. The Challenge of Inherent Variability

The application of QbD to herbal formulations presents unique and significant challenges that are not typically encountered with synthetic pharmaceuticals. A primary issue is the inherent phytochemical complexity of these products. Unlike a single-API synthetic drug, the efficacy of a polyherbal formulation is often the result of the synergistic action of multiple, complex constituents. The total pharmacological effect is based on the combined pharmacodynamic and pharmacokinetic properties of these compounds, making it difficult to pinpoint a single marker for quality.

This complexity is compounded by raw material fluctuations, which are identified as a key obstacle to implementing QbD. The composition and content of plant extracts can vary considerably due to a host of factors beyond a manufacturer's control, including climate, soil composition, latitude, season, harvest time, and field management. This variability makes it challenging to standardize the manufacturing process and ensure batch-to-batch consistency, which is a core tenet of QbD.

2.2. The Difficulty of Defining CQAs

The multi-component nature of herbal products makes it exceptionally difficult to define the acceptable ranges for Critical Quality Attributes (CQAs). While a CQA for a conventional tablet might be its disintegration time, a similar attribute for a complex herbal extract is not as straightforward to determine. The efficacy of the final product is not tied to a single, measurable compound but to the collective effect of numerous constituents.

To address this, a novel strategy has been proposed: using an "in vitro bio-capacity" as a CQA for a functional food extract. This approach shifts the focus from quantifying a specific chemical marker to measuring the overall biological activity of the extract, providing a more relevant and holistic measure of its quality and efficacy. This method offers a more suitable solution for the complex systems found in herbal drugs, where the sum of the parts is greater than a single, isolated component. [14,15]

2.3. Overcoming Complexity with Modern Tools

Modern science offers sophisticated solutions to the challenges of herbal formulation. Advanced analytical techniques are essential for comprehensive phytochemical characterization and quality control. Techniques such as High-Performance Liquid Chromatography (HPLC) are used for the quantitative analysis of active compounds, while High-Performance Thin-Layer Chromatography (HPTLC) helps in the separation and identification of different chemical components. To ensure safety,

Atomic Absorption Spectroscopy (AAS) can be employed to detect and quantify heavy metals in raw materials, addressing a major safety concern in the industry.

Furthermore, computational biology tools are emerging as powerful assets in herbal formulation development. Methods like molecular docking and Molecular Dynamics (MD) simulations can predict the binding affinity and stability of protein-ligand complexes, providing a deeper understanding of the functional interactions between herbal compounds and their biological targets. This data-driven approach, supported by specialized databases such as TCMSP, TCMIP, and SymMap, moves the development process beyond traditional, experience-based methods toward a more predictable and scientifically sound framework.[16,17]

2.4. Scientific Foundations of Skin Aging and Herbal Intervention

Skin aging is a multifaceted biological process influenced by both intrinsic (chronological) and extrinsic (environmental) factors, primarily chronic exposure to ultraviolet (UV) radiation. The structural integrity of the skin is dependent on the dermal extracellular matrix (ECM), which is predominantly composed of collagen and elastic fibers. Collagen provides tensile strength, while elastin allows the skin to return to its original shape after stretching. In aged skin, collagen fibers become fragmented and their overall quantity decreases, a key mechanism leading to dermal atrophy and the formation of wrinkles and reduced elasticity.



A critical aspect of skin aging involves the activity of key enzymes and the role of oxidative stress. Enzymes such as collagenase, elastase, and tyrosinase are directly implicated in the degradation of dermal components and the development of hyperpigmentation. Collagenase and elastase break down collagen and elastin, respectively, while tyrosinase is a central enzyme in the melanin biosynthetic pathway, and its overactivity leads to dark spots. This enzymatic degradation is often triggered by

Reactive Oxygen Species (ROS), which are generated by factors like UV exposure and lead to a state of oxidative stress. This process creates a "positive feedback loop" where aged fibroblasts produce more ROS, further accelerating dermal aging.

Herbal active ingredients offer a natural pathway to combat these aging mechanisms. For example, *Embolica officinalis* (Amla) is a potent source of antioxidants, including Vitamin C and polyphenols, which scavenge free radicals and reduce oxidative stress, thereby counteracting the effects of aging.

Centella asiatica (Gotu Kola) and its active triterpenes, asiaticoside and madecassoside, stimulate the proliferation of fibroblasts and the synthesis of collagen, specifically type I collagen, through the Smad signaling pathway.

Glycyrrhiza glabra (Licorice) contains flavonoids like glabridin and isoliquiritigenin, which have been shown to inhibit tyrosinase activity, offering a solution for skin brightening and anti-melanogenesis. [18-25]

To provide a clearer understanding of these biological mechanisms, the following table summarizes the roles of key enzymes in skin aging.

Enzyme	Function	Role in Skin Aging
Collagenase	Breaks down collagen fibers within the dermal extracellular matrix (ECM)	Collagen degradation leads to a loss of tensile strength, resulting in skin thinning and the formation of fine lines and wrinkles.
Elastase	Degrades elastic fibers, which are responsible for skin elasticity	Elastin degradation reduces the skin's ability to "snap back" after stretching, contributing to skin laxity, sagging, and deep wrinkles.
Hyaluronidase	Breaks down hyaluronic acid, a key glycosaminoglycan (GAG) that retains moisture	Hyaluronic acid loss reduces skin hydration and volume, making the skin appear dull and more susceptible to fine lines.
Tyrosinase	Catalyzes the first two steps of the melanin biosynthetic pathway	Overactivity leads to an overproduction of melanin, resulting in hyperpigmentation, age spots, and uneven skin tone.

III. CURRENT STRATEGIES AND CASE STUDIES IN QBD IMPLEMENTATION

3.1. Strategies for Process Development and Control

The successful implementation of QbD for herbal products relies on a systematic approach to formulation development and process control. Common topical formulations include creams, gels, and serums, each with specific physicochemical properties. The manufacturing process for these products must be carefully controlled to ensure consistent quality. Key evaluation parameters for topical formulations include pH, viscosity, spreadability, homogeneity, and irritancy. The pH, for instance, must be within a skin-compatible range to prevent irritation. Viscosity and spreadability are crucial for consumer appeal and ease of application.

Process Analytical Technology (PAT) is a key strategy for implementing QbD. PAT involves the use of real-time monitoring systems to measure Critical Quality Attributes (CQAs) of raw and in-process materials, enabling manufacturers to adjust parameters during production and ensure batch-to-batch consistency. By providing real-time data, PAT reduces the reliance on time-consuming end-product testing and helps to manage the inherent variability of herbal raw materials.

The following table provides a practical guide to the physicochemical evaluation parameters for topical herbal formulations.



Parameter	Definition and Importance	Acceptable Range (Example)
Physical Appearance	Visual characteristics such as color, texture, and overall look. Important for consumer appeal and product elegance.	Smooth, homogenous, and cosmetically appealing.
pH	A measure of acidity or alkalinity. Skin compatibility is crucial to prevent irritation.	Typically near the skin's natural pH (5.5-6.5) to avoid adverse effects.
Viscosity	A measure of the product's thickness and resistance to flow. Affects spreadability and drug release rate.	Optimal viscosity ensures a smooth texture and proper application.
Spreadability	The ease with which a formulation can be spread on the skin. Directly impacts user experience and effectiveness of application.	High spreadability is desirable for easy and uniform application.
Homogeneity	The uniformity of the ingredients throughout the product. Lack of homogeneity can lead to phase separation or inconsistent composition.	No signs of lumps or phase separation; uniform texture.
Irritancy	Assessment of a product's potential to cause redness, swelling, or irritation. Essential for product safety and consumer trust.	Must be non-irritant with no signs of adverse skin reactions.

3.2. Case Study: QbD for a Danshen Extract

A practical example of QbD implementation for a botanical drug is demonstrated in a case study involving a Danshen (*Salvia miltiorrhiza* Bunge) extract used to produce a "dripping pill" preparation. The manufacturing process for this product is complex, involving multiple steps, including extraction, concentration, and ethanol precipitation. The ethanol precipitation step was identified as a critical unit operation because it is used to remove impurities while also potentially causing a loss of active components.

Using a structured risk assessment, specifically a Failure Mode and Effects Analysis (FMEA), researchers identified three critical variables that could affect the process: concentrate density, ethanol consumption, and settling temperature. A Box-Behnken experimental design was then used to study the effects of these variables on the product's performance, as measured by the recovery of four key Active Pharmaceutical Ingredients (APIs) and the removal of saccharides.

The results of this study demonstrated the power of the QbD approach. It was found that a higher concentrate density led to a greater removal of saccharides but, conversely, resulted in a lower recovery of the valuable APIs. The study also showed that the different APIs behaved differently in response to changes in process variables, a crucial insight for optimizing the overall formulation. Based on these findings, a potential design space was established, defining the ranges for concentrate density, ethanol consumption, and settling temperature that would ensure consistent product quality. This case study proves that QbD is a powerful tool for developing reliable botanical drug processes by facilitating a deep understanding of the intricate relationships between material attributes, process parameters, and final product quality.

3.3. Case Study: QbD for a Polyherbal Antioxidant Tablet

Another example of QbD's application is the development of a polyherbal antioxidant tablet containing extracts from turmeric, green tea, amla, and black pepper. The process began with defining a

QTPP for a conventional oral tablet, including characteristics like dosage form, strength, and appearance. From this, CQAs such as disintegration time and friability were identified as critical to ensuring the tablet's efficacy and integrity.

An initial risk assessment was conducted to identify high-risk formulation variables, and a Central Composite Design (CCD) was used to investigate the effects of two key excipients: starch and croscarmellose sodium. The study found that both variables had a significant effect on the tablets' disintegration time and friability, confirming their criticality.

The optimization process successfully identified a formulation that met the predefined CQAs and QTPP. The optimized formulation was then validated, with experimental values for CQAs closely matching the predicted values, confirming the validity of the QbD approach. The study concluded that the final product showed pronounced antioxidant activity



and fulfilled all quality criteria, demonstrating that QbD is an effective approach for developing and optimizing herbal supplements. This approach moves the development process away from empirical, trial-and-error methods to a more predictable and controlled environment, ensuring consistent product quality from the onset. [26-36]

IV. REGULATORY ASPECTS AND GLOBAL COMPLIANCE

4.1. The International Regulatory Landscape

The regulatory landscape for herbal drugs and cosmeceuticals is fragmented and varies significantly by region. However, the foundational global framework for QbD is provided by the International Conference on Harmonisation (ICH) guidelines Q8, Q9, and Q10. These guidelines serve as the basis for a systematic, risk-based approach to pharmaceutical development and manufacturing.

A significant benefit of a QbD-based submission is the potential for increased regulatory flexibility. When a manufacturer works within an approved design space, any changes made to the process are not considered a change and do not require a post-approval regulatory process. This provides a strong incentive for manufacturers to adopt the QbD framework, as it streamlines the often-cumbersome process of making manufacturing improvements. Both the European Medicines Agency (EMA) and the U.S. Food and Drug Administration (FDA) have a parallel assessment program to support the implementation of QbD concepts, signaling their alignment on this approach.

4.2. Regulatory Frameworks in Key Regions

Each major global market has its own distinct framework for regulating herbal products. In India, herbal drugs and cosmetics are regulated under the Ministry of Ayurveda, Yoga and Naturopathy, Unani, Siddha, and Homeopathy (AYUSH) and are governed by the Drugs and Cosmetics Act of 1940. The Department of AYUSH has implemented Good Manufacturing Practices (GMP) through Schedule T to ensure the quality and authenticity of raw materials and formulations. It is notable that domestic cosmetics in India do not always require mandatory registration or extensive clinical testing.

In the United States, herbs are primarily classified as "dietary supplements" under the Dietary Supplement Health and Education Act (DSHEA) of 1994. While the FDA has regulatory oversight, the term "cosmeceutical" has no legal meaning under U.S. law, and cosmetics generally do not require premarket approval, except for color additives. The FDA's focus is on ensuring product safety, which is often managed through a voluntary cosmetic registration program.

The European Union has a more defined and streamlined approach for herbal medicinal products, managed by the EMA and the Committee on Herbal Medicinal Products (HMPC). The HMPC has established a simplified registration procedure for traditional herbal medicines, which requires a full quality dossier and adheres to robust safety and efficacy standards.

4.3. The Problem of Regional Disparities

The differing regulatory frameworks across regions create significant challenges for the global herbal cosmetics industry, leading to "regulatory loopholes" and "regional regulatory disparities". The lack of consistent standards contributes to issues like greenwashing, deceptive labeling, and a lack of clinical validation, which in turn erodes consumer trust.

The QbD framework offers a unique solution to these inconsistencies. By focusing on scientific understanding, quality risk management, and process control, it provides a universal, data-driven language for quality that transcends regional legal definitions. This scientific rigor can facilitate "international regulatory convergence". For a company, adopting QbD is not just about compliance; it is a strategic business decision that allows it to build a reputation for transparency, authenticity, and scientifically-backed quality, directly meeting the demands of modern consumers who prioritize clean, ethical, and verifiable products. By demonstrating a commitment to QbD, a company can transform a potential regulatory burden into a source of competitive advantage in the global market. [37-42]

The following table synthesizes the complex regulatory information from the research snippets into a single, comprehensive comparison.



Region	Governing Body	Key Legislation / Framework	Product Classification (Herbal)	Role of QbD / GMP
India	Ministry of AYUSH, Central Drug Standard Control Organization (CDSCO)	Drugs and Cosmetics Act of 1940 and Rules 1945; Schedule T (GMP)	Herbal drugs, Herbal cosmetics	GMP is mandatory for manufacturing; focuses on quality of raw materials and processes; no mandatory registration for domestic cosmetics.
United States	Food and Drug Administration (FDA)	Dietary Supplement Health and Education Act (DSHEA) of 1994	Dietary supplements; cosmetics	Voluntary registration program for cosmetics; term "cosmeceutical" has no legal meaning; QbD is an encouraged, but not mandated, approach.
European Union	European Medicines Agency (EMA), Committee on Herbal Medicinal Products (HMPC)	Regulation (EC) No 726/2004; Directive 2004/24/EC	Traditional herbal medicinal products; Well-established use products	Simplified registration procedures are in place; a full quality dossier is required for approval; QbD concepts are welcomed in applications.

V. ADVANCEMENTS IN TECHNOLOGY AND FUTURE PERSPECTIVES

5.1. The Role of Artificial Intelligence and Machine Learning

Emerging technologies are set to revolutionize the herbal formulation and development process, enabling levels of precision and personalization previously unattainable. Artificial Intelligence (AI) and Machine Learning (ML) are at the forefront of this transformation. AI algorithms can analyze vast datasets related to skin types, consumer preferences, and ingredient effectiveness to create optimized formulations with a data-driven approach. This predictive modeling and data analysis drastically reduce the reliance on manual trial-and-error, shortening research and development timelines and enabling a faster time-to-market for new products.

Furthermore, AI and ML are central to the burgeoning trend of personalized skincare. AI-driven diagnostic tools, such as those that analyze a consumer's skin via a smartphone camera, can provide real-time, customized recommendations. In the future, this personalization could extend to genetic and microbiome-based analysis, creating formulations that are perfectly aligned with an individual's unique biological profile. This shift from mass-produced, one-size-fits-all products to tailored solutions is a key future trend in the industry. [43]

5.2. Novel Drug Delivery Systems (NDDS)

The efficacy of topical herbal products is often limited by challenges such as the low solubility of active ingredients, limited drug permeation through the skin barrier, and rapid degradation.

Novel Drug Delivery Systems (NDDS), including nanoparticles, nanoemulsions, and liposomes, offer a solution to these limitations by enhancing the transport and stability of herbal compounds.

By encapsulating herbal actives in these nanocarriers, manufacturers can improve their solubility, increase their bioavailability, and enable them to penetrate the deeper layers of the skin, where they can have a more significant therapeutic effect. For example, nanoemulsion technology has emerged as a solution for improving the stability and solubility of poorly soluble active ingredients and natural materials, which are common challenges in herbal formulations. While the benefits are clear, the research also raises an important consideration: the potential for safety issues related to the cellular uptake of nanoparticles, highlighting the need for continued research and rigorous safety testing. [44]



VI. MARKET DYNAMICS AND CONSUMER INSIGHTS

6.1. The Booming Herbal Anti-Aging Market

The global anti-aging market is experiencing robust growth, driven by shifting consumer preferences and rising awareness of skincare. The market was valued at USD 75.7 billion in 2024 and is projected to reach USD 122.9 billion by 2033, growing at a Compound Annual Growth Rate (CAGR) of 5.5%. The broader skincare market is also thriving, with a valuation of USD 115.65 billion in 2024 and a projected CAGR of 6.84% through 2032.

This growth is fueled by several key drivers. There is a burgeoning demand for anti-aging solutions among a rising geriatric population, coupled with an increasing desire for a youthful appearance across all age groups. Consumers are becoming more conscious of the potential risks of synthetic chemicals and are actively seeking products with organic and natural ingredients. This trend is amplified by the influence of social media and online shopping, which have increased the visibility and prominence of herbal brands. [45]

6.2. Addressing Consumer Challenges

Despite the strong market growth, the herbal industry faces a significant challenge: a trust deficit. The research reveals that a lack of standardization, inconsistent raw material quality, and inadequate clinical validation are major issues that undermine consumer confidence. The problem is compounded by "greenwashing," where brands make ambiguous or deceptive claims about their products, further confusing consumers and eroding credibility.

Consumers often hold a perception that herbal products are inherently safer due to their natural origin, but this belief is a false premise from a quality control perspective. The inherent variability of raw materials due to environmental factors and the complexity of multi-component systems make them susceptible to inconsistent efficacy and even potential contamination with heavy metals or pesticides. This reality creates a hidden problem of quality inconsistency in a market that is driven by a consumer assumption of safety. [46]

6.3. The Strategic Imperative of QbD

The QbD framework is uniquely positioned to address the market's biggest challenges and act as a strategic business tool. While the market is expanding, it is facing a crisis of trust due to quality inconsistencies and a lack of scientific validation. The QbD framework directly addresses this by building quality into the process from the very start, rather than relying on reactive end-product testing.

By adopting QbD, a company can move beyond unsubstantiated claims and provide a scientifically validated method for standardization, directly overcoming the problem of raw material variability. This scientific rigor provides the basis for robust clinical validation, which is a key challenge for the industry. For the modern consumer, who prioritizes transparency, ethical sourcing, and clean, effective products, a brand that adheres to a QbD framework can build a reputation for quality and trust. Ultimately, by embracing QbD, the industry can transform a perceived regulatory burden into a source of competitive advantage, ensuring that it provides safe, effective, and reliable products that meet the demands of a discerning global market.

VII. CONCLUSION AND RECOMMENDATIONS

The transition to a Quality by Design framework is not merely an optional upgrade for the herbal drug and cosmeceutical industry; it is a strategic and scientific imperative. The inherent complexity and variability of natural products, coupled with a fragmented regulatory landscape and a consumer base that demands both safety and efficacy, create a compelling need for a systematic, science-based approach to quality.

Recommendations for Industry:

Embrace a Holistic QbD Strategy: Implement QbD as a comprehensive, business-wide philosophy rather than a siloed technical process. This involves establishing a clear Quality Target Product Profile (QTPP) and defining Critical Quality Attributes (CQAs) that are tied to demonstrable biological activity, such as in vitro bio-capacity, to account for the multi-component nature of herbal products.



Invest in Modern Technology: Prioritize investment in advanced analytical tools like PAT for real-time process monitoring. Furthermore, leverage computational platforms and artificial intelligence for data-driven ingredient selection, formulation optimization, and predictive modeling, which can drastically reduce R&D time and costs.

Explore Novel Delivery Systems: Investigate and apply Novel Drug Delivery Systems (NDDS) like nanoemulsions and liposomes to overcome formulation challenges such as low solubility and poor skin permeation, which will ultimately enhance product efficacy and consumer appeal. Acknowledge and address the potential safety concerns raised by the research to build consumer trust.

Recommendations for Regulators:

Foster International Harmonization: Continue to promote and align on global standards, such as the ICH guidelines, to facilitate international regulatory convergence. This will streamline the process for high-quality herbal products to enter global markets and reduce the current landscape of regional disparities and regulatory loopholes.

Incentivize QbD Adoption: Provide clear guidance and incentives for companies that adopt a QbD approach, particularly by offering greater regulatory flexibility for changes made within an approved design space. This will encourage innovation and a shift away from traditional, less-rigorous quality control methods.

By embracing the principles and technologies of QbD, the herbal drug industry can move beyond a historical reliance on tradition and an ambiguous perception of "natural safety." It can instead build a future defined by transparency, consistency, and a scientifically validated assurance of quality, ensuring that it remains a trusted and effective source of health and wellness products for a growing global population.

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